

SAFETY NOTICE

SUBJECT: Preventing Injuries Caused by Turbulence

GENERAL: Safety Notices (SNs) are issued by the Civil Aviation Authority – Macao, China to convey advisory information to Macao aviation entities to enhance safety. SNs contain safety-related recommendations, guidance and/or industrial best practices to specific subjects which may or may not have been addressed by established requirements and regulations.

RELATED REGULATIONS: -

APPLICABILITY: This SN applies to all Macao AOC holders.

CANCELLATION: This SN is the first SN issued on this subject.

REFERENCES: The following material was referred to for the development of this SN:

- Cooperative Development of Operational Safety & Continuing Airworthiness Programme – South East Asia (COSCAP-SEA) Advisory Circular CSEA 016

1. Introduction

- 1.1 This Safety Notice (SN) provides information and practices that can be used to prevent injuries caused by turbulence.
- 1.2 Air operator incidents are infrequent; accidents are rare; and the risk of injury or death associated with this mode of transportation is very low. In an effort to reduce the chances that someone might get injured in an aircraft encountering turbulence, AACM has developed the advisory materials in this SN with reference to COSCAP-SEA Advisory Circular CSEA 016.
- 1.3 The industry and regulators have come together to develop guidance to help aircraft operators to avoid conditions that cause turbulence and to help aircraft operators minimize risks when aircraft encounter turbulence. These organizations have further consolidated strategies and procedures known to be effective in preventing injuries caused by turbulence and to issue guidance for implementation in the operations and training of air operator flight crews, cabin crew, flight operations officers (dispatchers), and managers.

2. Content of this SN

- 2.1 This SN highlights and identifies practices known to be effective against injuries caused by turbulence. Practices identified in this SN are suggested for crew members, dispatchers, managers, trainers, and

other associated with flight operations. These practices are suggested components of standard operating procedures that can be followed in daily flight operations and continually reinforced in training.

3. *What the Available Data Tells Us About Injuries Caused By Turbulence*

3.1 **Data Analysis: Sit down and buckle up.** The available data strongly suggest that an effective measure during a turbulence encounter is to have passengers and cabin crew seated with seat belts fastened. Rarely have people received serious injuries during turbulence who were seated with seat belts fastened.

4. *How An Air Operator Can Design Effective Training To Prevent Or Mitigate Injuries To Cabin Crews Caused By Turbulence*

4.1 **Take advantage of the training environment.** The training environment presents an excellent opportunity to train crew members in an air operator's standard operating procedures including standard phraseology, and to introduce concepts that might promote crew members' adherence to those procedures during a turbulence encounter. The training environment for cabin crew and flight crew affords a unique opportunity for lectures, scenarios and exercises designed to explore subjects such as evaluating risk, good and bad decision making and the importance of crew coordination before, during and after a turbulence encounter.

4.2 **Emphasize the importance of cabin crews' personal safety.** Cabin crew injuries occur at a disproportionately high rate compared to other crew members and other cabin occupants because cabin crew spend more time in the passenger cabin unseated and, therefore, unbelted. Effective training emphasizes to cabin crews that:

- a) **You are not invincible.** The overlying objective throughout all cabin crew training is to ensure that cabin crews are confident, competent, and in control while conducting their activities in the cabin. However, during a turbulence encounter, the most appropriate first response by a cabin crew might be self preservation. Training courseware can make cabin crews aware of their vulnerability in moderate and extreme turbulence. Effective training can incorporate video/digital media, real world scenarios and interviews with cabin crews who have experienced moderate and severe turbulence as a way to demonstrate that "turbulence can be stronger than you are."
- b) **You have tools available to increase your safety and the safety of your passengers.** Effective training shows cabin crews how to increase personal safety and passenger safety by identifying tools available to them in a turbulence encounter. Training can include the effective use of the passenger address system (PA) and other methods of communicating with passengers; the location of handholds throughout the airplane (or equipment that could be used as a handhold); and how to secure a service cart or an entire galley in minimum time.

- c) **You need to recognize and avoid a denial reflex.** Cabin crews can be made aware of ways in which human psychology might play into a turbulence encounter, and might actually increase their risk of injury. For example, on a short flight, with little time to complete a cabin service, cabin crews might be less conservative regarding their personal safety than on a longer flight with no time constraints. Cabin crews can also increase risk and compromise their personal safety by trying to adhere to routine procedures normally accomplished on every flight, such as completing seat belt compliance checks, rather than by responding to the non-routine situation that a turbulence encounter presents.
- 4.3 **Promote communication and coordination.** Crew resource management (CRM) training for crew members and dispatchers can emphasize that the individual is part of a team.
- a) **Address turbulence response in CRM training.** Communication and coordination among crew members is a critical component of an effective response to turbulence or a threat of turbulence. Air operators can develop and implement CRM training in initial and recurrent crew member training that encourages a coordinated crew response before, during, and after a turbulence encounter.
- (b) **Subtopics supporting CRM training to counter turbulence.** Effective and ineffective team performance can be made clearer by addressing topics such as:
- i) The importance of using standard phraseology so that meaning and intent are never in doubt.
 - ii) The importance of using standard operating procedures (SOPs) so that all crew members know what to expect.
 - iii) The importance of an effective preflight briefing that can include:
 - Potential of turbulence encounters during each leg.
 - Emphasis on the importance of keeping the flight deck informed of the conditions in the cabin.
 - Commitment to using standard air operator procedures and phraseology during a turbulence encounter.
 - iv) The importance of maintaining communication during the flight, including communication with the dispatchers, as appropriate.
 - v) The results of communication errors such as the use of vague, inaccurate descriptions and nonstandard phraseology regarding turbulence.

Note : It is highly desirable to conduct joint CRM training including flight crew, cabin crew, and dispatchers. However, if joint training is not practical, each of these training populations can be made aware of the others' functions regarding turbulence through other training methods.

5. *Operating Procedures An Air Operator Can Implement To Prevent Injuries Caused By Turbulence*

- 5.1 **Crew members.** Appendix 1, Turbulence Template, contains suggested procedures for crew members developed by a broad collaboration of regulator and industry representatives under NAST.
- 5.2 **Passengers.** Procedures promoting voluntary seat belt use and compliance with the fasten seat belt sign, can include the following:
- a) Flight crew promptly and clearly communicate turbulence advisories including specific directions to cabin crews and to passengers. Those advisories can include directions to be seated with seat belts fastened, and to secure cabin service equipment, as conditions may require.
 - b) Cabin crews effectively communicate directions to passengers to be seated with seat belts fastened.
 - c) Air operators develop and implement practices to encourage the use of an approved child restraint system (CRS) to secure an infant or a small child that is appropriate for that child's size and weight.
 - i) Parents and guardians can be encouraged to ensure that children under the age of two, traveling with approved CRS, occupy the CRS any time the fasten seat belt sign is illuminated.
 - ii) Cabin crew can verify that the CRS is secured properly in a forward facing seat and that the child appears to be properly secured in the CRS.
 - d) Air operators develop and implement practices to improve passenger compliance with seating and seat belt instructions from crew members such as:
 - i) Video presentations incorporated as part of a cabin crew's safety demonstration can illustrate the benefits of using effective turbulence practices.
 - ii) Articles in airline publications, pamphlets in seat back pockets or information on safety information cards can encourage passengers to engage in effective practices such as keeping seat belts fastened at all times.
 - iii) Before descent, or early in the descent, depending on conditions, flight crews may give passengers notice by way of an announcement that the fasten seat belt sign will be illuminated in 10-15 minutes, and that any personal needs requiring movement in the cabin should be met before that time. This practice emphasizes the requirement to comply with the fasten seat belt sign.

- iv) Air operators can implement spoken and written advice to passengers that AACM regulations require them as individuals to comply with crew member instructions regarding the fasten seat belt sign.
- v) Air operators can promote reasonable communication between cabin crew and the flight crew regarding the use of the fasten seat belt sign.
 - The environment in the cabin may be different from the environment in the flight deck during turbulence. Cabin crew should feel free to request that the flight crew illuminate the fasten seat belt sign whenever it is appropriate to do so in their judgment. Conversely when the fasten seat belt sign remains illuminated for prolonged period of time for reasons other than protection from a turbulence encounter its effectiveness can diminish for passengers and cabin crews. Cabin crews should feel free to question the flight crew regarding the necessity of having the fasten seat belt sign illuminated.

6. What Else An Air Operator Can Do To Prevent Injuries Caused By Turbulence

- 6.1 **Review its own history of turbulence encounters and injuries.** Volunteers representing various stakeholder groups within an air operator may work together as a highly competent team. The team can review the air operator's own turbulence encounters and resulting injuries. That review might shed light on root causes of the encounters and the injuries, and, in turn, might show the way to effectively prevent them.
- 6.2 **Gather current information on turbulence encounters and injuries.** Current information is generated in a variety of ways such as turbulence reports from crew members, injury reports from cabin crew, post encounter interviews and other processes that provide information for review and analysis.
- 6.3 Information useful for analysis can include:
 - a) Length of flight.
 - b) Route of flight.
 - c) Time of year.
 - d) Phase of flight.
 - e) Aircraft type.
 - f) Type of injuries received by passengers.
 - g) Type of injuries received by crew members.
 - h) Adequacy of crew member communications.
 - i) Adequacy of air operator procedures.

7. *Improvements In Dispatch Procedures An Air Operator Can Implement To Prevent Injuries Caused By Turbulence*

- 7.1 **Keep communication channels open full-time.** Dispatchers can communicate with flight crews, and flight crews can communicate with dispatchers, before, during, and after a flight, and can be encouraged to do so whenever necessary. In the preflight planning phase, the dispatchers may use the “Remarks” section of the dispatch (flight) release to advise flight crews of known or forecast turbulence. A “call dispatch” notation on the dispatch release may be included to indicate that the dispatchers believe a telephone conversation with the pilot is necessary. Communication may resume at any time during or after flight using an Aircraft Communication Addressing and Recording System (ACARS), company radio, or telephone and should be encouraged by an air operator’s management to improve the flow of real-time information regarding turbulence.
- 7.2 **Weather Briefings.** Preflight weather briefings, verbal or written, must include forecasts of turbulence and pilot reports of turbulence caused by thunderstorm activity, mountain wave activity, clear air turbulence, low altitude frontal wind shear and low altitude convective wind shear.
- 7.3 **Real-time Information Sharing.** During a flight, the pilot and dispatcher must communicate any changes in the forecast or actual turbulence conditions via voice or digital communication methods in order to pass real-time turbulence information along to other flights.

8. *Elements An Air Operator Can Integrate Into Aircraft Dispatcher Training To Prevent Injuries Caused By Turbulence*

- 8.1 Dispatcher training can include weather products and services available from the ICAO World Area Forecast System (WAFS). Wind and temperature forecasts for flight levels and significant weather (SIGWX) forecasts are issued regularly four times per day by the two World Area Forecast Centers (WAFS), London and Washington, and disseminated to all users via the ICAO Aeronautical Fixed System (AFS).
- 8.2 Continual reinforcement of the air operator’s turbulence avoidance policy can be evident in theory, in on-the-job training, and in practice:
- a) Assure pilot weather briefing includes known areas of turbulence.
 - b) Discuss flight routing, including en route altitudes, with flight crew prior to departure.
 - c) Plan flights so they will not proceed through areas in which thunderstorms of more than moderate intensity are known to exist.
 - d) Add remarks to dispatch/flight release or weather briefing to emphasize areas where turbulence may be expected.
 - e) Plan flights to avoid areas of severe turbulence.
 - f) Plan flights to avoid areas with severe thunderstorms.
 - g) Add remarks to dispatch/flight release to emphasize areas of turbulence that can be avoided.

9. *Three Fundamentals Of Effective Practices Against Turbulence*

- 9.1 **Turbulence Avoidance as corporate culture.** The first and most fundamental step in developing effective practices is that an air operator can adopt a corporate culture of avoidance of turbulence as the first line of defence. Implementing a turbulence avoidance culture can include standard operating procedures (SOPs) for dispatch and flight operations providing for rerouting around forecast and observed turbulence, and for observing standard clearances between thunderstorms and aircraft.
- 9.2 **Rerouting.** In the past the practice of rerouting has met with limited air operator acceptance, primarily because of the inaccuracy of first generation turbulence forecast products, the subjectivity inherent in air weather reports (AIREP) (if available), and the operational costs of rerouting. However, recent advances in automation, atmospheric modeling, and data display have improved forecast accuracy, data delivery, and AIREP subjectivity, improving the odds that a well-chosen rerouting would in fact avoid turbulence.
- 9.3 Standard clearances between thunderstorms and aircraft.

10. *Other Effective Practices That Can Be Used By Managers, Trainers, Meteorologists, And Aircraft Dispatchers*

10.1 Effective practices can include:

- a) Use all applicable weather data and products including alphanumeric weather information such as routine and special weather reports (METAR and SPECI), area forecasts and terminal aerodrome forecasts (TAF), WAFS wind and temperature forecasts, WAFS SIGWX forecast, SIGMET information, convective SIGMET (where available) and Airman's Meteorological Information (AIRMETS), upper air charts, graphical radar summaries or composites, and satellite imagery.
- b) Use sophisticated product generation to merge diverse sources into graphical product to track turbulence.
- c) Compile turbulence information, including AIREPs, making relevant information easily usable to dispatchers, flight crews, and air traffic controllers.

11. *New Systems Of Turbulence Reporting And Forecasting*

- 11.1 There have been significant improvements in turbulence reporting and forecasting. Major advances in data processing and delivery have allowed graphical depictions of weather to be delivered in near real-time, even to the flight decks of suitably equipped aircraft. Advanced reporting, forecasting, and delivery of graphics have been promoted by regulator/industry partnerships and by the leadership of various organizations.

12. *Additional Steps Air Operators Can Take To Avoid Turbulence And Thereby Prevent Cabin Injuries*

12.1 Real-time information, airplane-to-ground. Continued improvement in turbulence related weather products requires better handling of real-time information on the state of the atmosphere at any given time. The most promising way to capture and convey this information is through a comprehensive program of reports from aircraft in flight. That program would be founded on automated turbulence reporting supplemented by human reports (AIREPs). Air operators can promote real-time information handling by the following steps :

- a) Improve the coverage and objectivity of atmospheric turbulence reports by installation of automated aircraft turbulence downlink systems on all ACARS equipped aircraft. [The current International Civil Aviation Organization (ICAO) standard metric for automated turbulence reporting is EDR (eddy dissipation rate)].
- b) Encourage additional reporting of AIREPs by flight crews through air operator AIREP awareness campaigns and by training flight crews to follow ICAO established AIREP procedures (Reference ICAO Annex 3).
- c) Establish communications links and encourage flight crews to deliver air operator “in-house” AIREPs to the ATS units.

12.2 Efficient delivery of current information, ground-to-airplane. In conjunction with improved turbulence reporting airplane-to-ground, air operators may join with other industry groups and with government organizations to develop faster processing and delivery of current turbulence information ground-to-airplane. Work can be done in at least the following areas:

- a) Conducting an assessment of communications/processing/distribution systems for gridded, alphanumeric, and graphical image turbulence information exchange in order to determine necessary improvements.
- b) Developing a plan to integrate required improvements in conjunction with normal upgrade/replacement cycles for ground-to-ground and ground-to-air systems, and/or a cost-effective mix of Internet, Intranet and other evolving communications systems.
- c) Developing a tailored “forced” uplink of critical alpha/numeric reports and forecasts to existing displays on the flight deck, and follow up with a comparable graphic product to take advantage of evolving flight deck display technology.

13. *Recommended Actions*

13.1 Operators are encouraged to note and disseminate the information promulgated in this SN to its flight crew members, cabin crew members, dispatchers, and others involved in flight operations.

13.2 Directors of Flight Operations, Chief Pilots, Training Managers, and Cabin Safety Managers are encouraged to review their company’s policies, procedures, and training programme to reflect the safety issues contained in this SN.

Appendix 1

TURBULENCE TEMPLATE

The Turbulence Template comprises two parts :

- a) STANDARD TERMINOLOGY FOR TURBULENCE.
- b) PROCEDURES KNOWN TO BE EFFECTIVE AGAINST TURBULENCE, procedures suggested as standard operating procedures for voluntary implementation by air operators.

A) STANDARD TERMINOLOGY FOR TURBULENCE

i) DURATION OF TURBULENCE

- a) **Occasional.** Less than 1/3 of the time.
- b) **Intermittent.** 1/3 to 2/3 of the time.
- c) **Continuous.** More than 2/3 of the time.

***NOTE:** Duration may be based on time between two locations or over a single location. All locations should be readily identifiable.*

ii) TURBULENCE INTENSITY

- a) **Light Chop.** Slight, rapid, and somewhat rhythmic bumpiness without appreciable changes in altitude or attitude.
- b) **Light Turbulence.** Slight, erratic changes in altitude and/or attitude. Occupants may feel a slight strain against seatbelts. Unsecured objects may be displaced slightly. Food service may be conducted and little to no difficulty is encountered in walking.
- c) **Moderate Chop.** Rapid bumps or jolts without appreciable changes in aircraft altitude or attitude.
- d) **Moderate Turbulence.** Changes in altitude and/or attitude occur but the aircraft remains in positive control at all times. It usually causes variations in indicated airspeed. Occupants feel definite strain against seatbelts. Unsecured objects are dislodged. Food service and walking are difficult.
- e) **Severe.** Large, abrupt changes in altitude and/or attitude. Usually causes large variations in indicated airspeed. Aircraft may be momentarily out of control. Occupants are forced violently against seatbelts. Unsecured objects are tossed about. Food service and walking are impossible.
- f) **Extreme.** Aircraft is violently tossed about and is practically impossible to control. May cause structural damage.

iii) TURBULENCE TYPES

- a) **Thunderstorm Turbulence.** Turbulence associated within and in the vicinity of thunderstorms or cumulonimbus clouds. A cumulonimbus cloud with hanging protuberances is usually indicative of severe turbulence.
- b) **Clear Air Turbulence (CAT).** High level turbulence (above 15000') not normally associated with cumuliform cloudiness. Typically wind shear turbulence even when in cirrus clouds.
- c) **Mountain Wave Turbulence.** Turbulence as a result of air being blown over a mountain range or a sharp bluff causing a series of updrafts and downdrafts.

B) PROCEDURES KNOWN TO BE EFFECTIVE AGAINST TURBULENCE

The following procedures are suggested as standard operating procedures for voluntary implementation by air operators

i) MAXIMIZE THE INFORMATION ABOUT YOUR FLIGHT CONDITIONS

- a) Inform ATC of turbulence at check in with new controller.
- b) Inform ATC when unforecasted turbulence is encountered en route.
- c) Inform company via ACARS or dispatch frequency so that following flights will be aware of the flight conditions or be planned on another route.
- d) Inform/query other aircraft operating in the area on a common frequency.
- e) Query ATC about “the rides” when you check in with a new controller/sector.

ii) WHEN INFORMED OF TURBULENT FLIGHT CONDITIONS

- a) Prior to departure, seek alternate routing to avoid the affected areas or delay departure until conditions improve.
- b) Change en route altitudes or routes to avoid the turbulence.
- c) Slow to the manufacturer’s recommended turbulence penetration speed.
- d) Prior to descent, seek alternate routing to avoid the affected areas or, if severity dictates, hold or divert to alternate.
- e) AVOID any convective activity (CBs) en route by at least 20 nautical miles.

iii) GENERAL TURBULENCE PROCEDURES

If flight into forecast turbulence is unavoidable, timely notification to the cabin crew is crucial to their safety.

If turbulence is expected before the flight departs, the preflight briefing to the lead cabin crew must include turbulence considerations. The briefing can be the same as an inflight briefing for expected turbulence including:

- a) Actions the flight crew want the cabin crew to undertake any time turbulence is expected.

- b) Intensity of turbulence expected.
- c) Methodology for communicating to the cabin the onset or worsening of turbulence, e.g., cabin interphone or PA.
- d) Phraseology for the cabin crew to communicate the severity of turbulence.
- e) Expected duration of the turbulence and how an “all-clear” will be communicated.

Utilize a positive signal of when cabin crew may commence their duties after takeoff and when they should be seated and secured prior to landing.

Passengers will be informed of routine turbulence via the PA system. Do not rely on the seatbelt sign alone.

Cabin crew will be informed of routine turbulence via the interphone.

If at any time the cabin crew experiences uncomfortable turbulence without notice from the flight crew, they must immediately take their seats and inform the flight crew.

All service items must be properly stowed and secured when not in use.

iv) TURBULENCE ONSET CATEGORIES

- a) Expected Turbulence. Advance notice exists for the flight crew to brief the cabin crew either prior to the flight or in-flight via the interphone.
- b) Little Warning. Sufficient warning exists to seat the passengers and for the cabin crew to perform their duties.
- c) Imminent Turbulence or Turbulence Occurring. Sudden, unexpected or imminent turbulence requiring immediate action to protect cabin crew and passengers.

v) INJURY AVOIDANCE ACTIONS

- a) Expected Turbulence
 - Captain can thoroughly brief the cabin crew on the expected turbulence level and its duration.
 - Clearly articulate expectations from the cabin crew and request confirmation of completed actions.
 - Instruct the cabin crew to immediately and plainly report any deviations from the expected turbulence level.
 - Develop a method to inform the cabin crew of the completion of the turbulence event.
- b) Little Warning
 - Captain turns on seat belt sign and makes a public address announcement, “Cabin crew stow your service items and take your seats. Passengers please

remain seated until this area of turbulence has passed and I have cleared you to move about the cabin.”

- Cabin crew stows all applicable service items, performs cabin compliance check, and secures themselves in their jump seats.
- Lead cabin crew informs captain of the completion of these items.
- When conditions improve, captain uses the public address system to advise the cabin crew that they may resume their duties and whether or not the passengers may move about the cabin.

c) Imminent Turbulence or Turbulence Occurring

- Captain turns on seatbelt sign and makes a public address announcement, “cabin crews and passengers be seated immediately. Passengers please remain seated until this area of turbulence has passed and I have cleared you to move about the cabin.”
- Cabin crew take first available seat and secure themselves.
- No compliance checks are performed and items are secured only if they present no delay in securing a person in a seat.
- When conditions improve, captain makes public address announcement advising the cabin crew that they may resume their duties and whether or not the passengers may move about the cabin.

Appendix 2

GOOD PRACTICES TO AVOID THUNDERSTORMS AND ATTENDANT TURBULENCE.

The North and South Asia Regional Aviation Safety Teams (NARAST/SARAST) have supported the following practices as measures to prevent thunderstorm penetrations and to mitigate effects of proximate thunderstorms, especially loss of control and turbulence that might cause injury.

These practices are included here for reference by managers and trainers of pilots operating transport category airplanes in commercial aviation, especially those carrying passengers and cabin crews. These practices are comprised of clear and objective criteria to facilitate recognition of cues associated with severe convective activity and guidance to improve flight crew decision making.

During flight, use any means available to avoid thunderstorms by at least:

- 20 nautical miles at or above flight level (FL) 230
- 10 nautical miles below FL 230

In order to minimize exposure to thunderstorm hazards **when approaching or departing an airport** in an area where thunderstorms are occurring or are forecast:

- Attempt to maintain visual meteorological conditions (VMC).
- Maintain at least 5 nautical miles separation from heavy rain showers.
- Avoid areas of high lightning potential, i.e., clouds within $\pm 5,000$ feet of the freezing level.

NOTE: Approaches or departures may be accomplished when thunderstorms are within 10 nautical miles. The thunderstorms must not be producing hazardous conditions (such as hail, lightning, strong winds, gust fronts, heavy rain wind shear, or microburst) at the airport, and must not be forecast or observed to be moving in the direction of the route of flight (to include the planned missed approach corridor, if applicable).